

Market Abuse and Insider Dealing

Course Overview

Financial market laws and regulations are there to protect the financial markets and ensure fairness amongst all market participants. Failure to comply with these laws and regulations has serious civil and criminal consequences. If companies are found to have breached these rules it can lead to regulatory action, fines and reputational damage. For employees and other individuals it could lead to fines and possible imprisonment. Knowledge of insider dealing and market abuse is therefore essential to prevent the crystallisation of these risks.

This module provides an outline of global insider dealing and market abuse regimes and the key differences between them. There is a discussion on what represents an offence and the consequences of a breach. Case studies of past breaches are summarised in order to contextualise the modules key points.

Course Content:

- The importance of an "Insider Dealing and Market Abuse" module
- What is insider dealing and what is market abuse
- The difference between the two
- The different offences
- The consequences
- The defences

Quick Info:

Duration / CPD: 1 Hour
Suitable for: All staff
Validity: Licences are valid for one year after the date of issue

Cost:

1–10 licences = £60
11–24 licences = £55
25–49 licences = £50
50–74 licences = £45
75–99 licences = £40

Key Features:

- Includes jurisdiction-specific references
- Content updated regularly
- A certificate will be produced upon completion
- Progress can be saved and returned to when convenient
- The LMS allows for simple and effective auditability of user progress
- Cost-effective



**SCREENSHOTS
IN DEVELOPMENT**